



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
STATION PLACE
100 F STREET, NE
WASHINGTON, DC 20549-2465

Office of FOIA Services

July 19, 2019

Mr. Taylor Amarel
MuckRock News DEPT MR 76552
411A Highland, Ave.
Somerville, MA 02144

RE: Freedom of Information Act (FOIA), 5 U.S.C. § 552
Request No. **19-00127-FOPA**

Dear Mr. Amarel:

This letter addresses the following request for records:

19-00127-FOPA submitted on July 16, 2019 for copies of emails sent to, from, or copied to John Berry, Associate Regional Director, from January 1, 2015 to Present Day containing the non-case-sensitive key-string: "Amarel."

I have determined that this request is for records related to tips, complaints, and/or referrals submitted by you to the SEC. I am aggregating this request with your pending complex track request, 18-00004-FIFO. Please be advised that any request you currently have pending may also be subject to aggregation, as well as any request you file after this date.

For purposes of determining fees, you are considered an "Other Use" requester. As such, you are entitled to two (2) hours of search time and 100 pages of duplication free of charge. Once these thresholds are met you will be required to pay any remaining search and duplication fees. Because you have failed to make payments in the past, the Office of FOIA Services may require that you make advance payments for processing.

I am the deciding official with regard to this determination. You have the right to appeal my decision to the SEC's General Counsel under 5 U.S.C. § 552(a)(6), 17 CFR § 200.80(f)(1). The appeal must be received within ninety (90) calendar days of the date of this adverse decision. Your appeal must be in writing, clearly marked "Freedom of Information Act

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18-00004-FIFO

Appeal," and should identify the requested records. The appeal may include facts and authorities you consider appropriate.

You may file your appeal by completing the online Appeal form located at https://www.sec.gov/forms/request_appeal, or mail your appeal to the Office of FOIA Services of the Securities and Exchange Commission located at Station Place, 100 F Street NE, Mail Stop 2465, Washington, D.C. 20549, or deliver it to Room 1120 at that address.

If you have any questions, you can contact me at sifordm@sec.gov or (202)551-7201. You may also contact the SEC's FOIA Public Service Center at foiapa@sec.gov or (202) 551-7900. For further assistance you can contact a SEC FOIA Public Liaison by calling (202) 551-7900 or visiting <https://www.sec.gov/oso/help/foia-contact.html>.

SEC FOIA Public Liaisons are supervisory staff within the Office of FOIA Services. They can assist FOIA requesters with general questions or concerns about the SEC's FOIA process or about the processing of their specific request.

Finally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA dispute resolution services it offers. OGIS can be reached at 1-877-684-6448 or via e-mail at ogis@nara.gov. Information concerning services offered by OGIS can be found at their website at Archives.gov. Please note that contacting the FOIA Public Liaison or OGIS does not stop the 90-day appeal clock and is not a substitute for filing an administrative appeal.

Sincerely,



Mark P. Siford
Counsel to the Director/Chief FOIA Officer
Office of Support Operations